

# On controllability problems for the wave equation on a half-plane

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Necessary and sufficient conditions for null-controllability and approximate null-controllability are obtained for the wave equation on a half-plane. Controls solving these problems are found explicitly. Moreover bang-bang controls solving the approximate null-controllability problem are constructed with the aid of the Markov power moment problem.

## 0. Introduction

Controllability problems for hyperbolic partial differential equation were investigated in a number of papers (see, e.g., the references in [1]).

One of the most generally accepted ways to study control systems with distributed parameters is their interpretation in the form

$$\frac{dw}{dt} = Aw + Bu, \quad t \in (0, T), \quad (0.1)$$

where  $T > 0$ ,  $w : (0, T) \rightarrow \mathcal{H}$  is an unknown function,  $u : (0, T) \rightarrow H$  is a control,  $\mathcal{H}$ ,  $H$  are Banach spaces,  $A$  is an infinitesimal operator in  $\mathcal{H}$ ,  $B : H \rightarrow \mathcal{H}$  is a linear bounded operator. An important advantage of this approach is a possibility to employ ideas and technique of the semigroup operator theory. At the same time it should be noticed that the most substantial and important for

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applications results on operator semigroups deal with the case when the semigroup generator  $A$  has a discrete spectrum or a compact resolvent and therefore the semigroup may be treated by means of eigenelements of  $A$ . These assumptions correspond to differential equations in bounded domains only.

In this paper we consider the wave equation on a half-plane. We should note that most of papers studied controllability problems for the wave equation dealt with this equation on bounded domains and controllability problems considered in context of  $L^2$ -controllability or, more generally,  $L^p$ -controllability ( $2 \leq p < +\infty$ ) [2–6]. But only  $L^\infty$ -controls can be realized practically. Moreover, such controls should be bounded by a hard constant (like in restriction (0.4)) for practical purposes. Furthermore classical control theory started precisely from this point view as switching controls are the ones realized in a concrete system. That is why we build also bang-bang controls solving approximate null-controllability problem in this paper.

Controllability problems for the wave equation on a half-axis in context of bounded of a hard constant controls were investigated in [9, 10].

Consider the wave equation on a half-plane

$$\frac{\partial^2 w}{\partial t^2} = \Delta w, \quad x_1 \in \mathbb{R}, \quad x_2 > 0, \quad t \in (0, T), \quad (0.2)$$

controlled by the boundary condition

$$w(x_1, 0, t) = \delta(x_1)u(t), \quad x_1 \in \mathbb{R}, \quad t \in (0, T), \quad (0.3)$$

where  $T > 0$ . We also assume that the control  $u$  satisfies the restriction

$$u \in \mathcal{B}(0, T) = \{v \in L^2(0, T) \mid |v(t)| \leq 1 \text{ almost everywhere on } (0, T)\}. \quad (0.4)$$

All functions appearing in the equation (0.2) are defined for  $x_1 \in \mathbb{R}, x_2 \geq 0$ . Further, we assume everywhere that they are defined for  $x \in \mathbb{R}^2$  and vanish for  $x_2 < 0$ .

Let us give definitions of the spaces used in our work. Let  $\mathcal{S}$  be the Schwartz space [7]

$$\begin{aligned} \mathcal{S} &= \left\{ \varphi \in C^\infty(\mathbb{R}^n) \mid \forall m \in \mathbb{N} \right. \\ &\quad \left. \forall l \in \mathbb{N} \sup \left\{ \left| D^\alpha \varphi(x) \right| (1 + |x|^2)^l \mid x \in \mathbb{R}^n \wedge |\alpha| \leq m \right\} < +\infty \right\}, \\ \mathcal{S}_+ &= \{ \varphi \in \mathcal{S} \mid \text{supp } \varphi \in \mathbb{R} \times (0, +\infty) \} \end{aligned}$$

and let  $\mathcal{S}'$ ,  $\mathcal{S}'_+$  be the dual spaces, here  $D = (-i\partial/\partial x_1, \dots, -i\partial/\partial x_n)$ ,  $\alpha = (\alpha_1, \dots, \alpha_n)$  is multi-index,  $|\alpha| = \alpha_1 + \dots + \alpha_n$ ,  $|\cdot|$  is the Euclidean norm.

Denote by  $H_l^s$  the following Sobolev spaces:

$$H_l^s = \left\{ \varphi \in \mathcal{S}' \mid (1 + |x|^2)^{l/2} (1 + |D|^2)^{s/2} \varphi \in L^2(\mathbb{R}^n) \right\},$$

$$\|\varphi\|_l^s = \left( \int_{\mathbb{R}^n} \left| (1 + |x|^2)^{l/2} (1 + |D|^2)^{s/2} \varphi(x) \right|^2 dx \right)^{1/2}.$$

Let  $\mathcal{F} : \mathcal{S}' \rightarrow \mathcal{S}'$  be the Fourier transform operator. For  $\varphi \in \mathcal{S}$  we have

$$(\mathcal{F}\varphi)(\sigma) = (2\pi)^{-n/2} \int_{\mathbb{R}^n} e^{-i\langle x, \sigma \rangle} \varphi(x) dx,$$

where  $\langle \cdot, \cdot \rangle$  is the scalar product in  $\mathbb{R}^n$  corresponding to the Euclidean norm. It is well known [8, Ch. 1] that  $\mathcal{F}H_0^s = H_s^0$  and  $\|\varphi\|_0^s = \|\mathcal{F}\varphi\|_s^0$ , if  $\varphi \in H_0^s$ .

A distribution  $f \in \mathcal{S}'$  is said to be *odd* if  $(f, \varphi(\xi)) = -(f, \varphi(-\xi))$ ,  $\varphi \in \mathcal{S}$ .

Further, we assume throughout the paper that  $s \leq 0$  and use the spaces

$$\mathcal{H}^s = \left\{ \varphi \in H_0^s \times H_0^{s-1} \mid \varphi \in \mathcal{S}'_+ \wedge \exists \varphi(+0) \in \mathbb{R} \right\},$$

$$\tilde{H}^s = \left\{ \varphi \in H_0^s \times H_0^{s-1} \mid \varphi \text{ is odd with resp. to } x_2 \right\}$$

with the norm  $\|\varphi\|^s = \left( (\|\varphi_0\|_0^s)^2 + (\|\varphi_1\|_0^{s-1})^2 \right)^{1/2}$  and also the space

$$\hat{H}_s = \left\{ \varphi \in H_s^0 \times H_{s-1}^0 \mid \varphi \text{ is odd with resp. to } \sigma_2 \right\}$$

with the norm  $\|\varphi\|_s = \left( (\|\varphi_0\|_s^0)^2 + (\|\varphi_1\|_{s-1}^0)^2 \right)^{1/2}$ .

Denote by  $A$  the following operator

$$A = \begin{pmatrix} 0 & 1 \\ \Delta & 0 \end{pmatrix}, \quad A : \tilde{H}^{s-2} \rightarrow \tilde{H}^{s-2}, \quad D(A) = \tilde{H}^s \quad (0.5)$$

and by  $B$  the operator

$$B = \begin{pmatrix} 0 \\ -2\delta(x_1)\delta'(x_2) \end{pmatrix}, \quad B : \mathbb{R} \rightarrow \tilde{H}^{s-2}, \quad D(B) = \mathbb{R}, \quad (0.6)$$

where  $\delta$  is the Dirac function. Then the system (0.2), (0.3) is reduced to the form (0.1) with these operators  $A$  and  $B$ .

In Section 1 we obtain necessary and sufficient conditions for null-controllability and approximate null-controllability for the system (0.2), (0.3) with restrictions (0.4) on the control. Controls solving the problems of null-controllability and approximate null-controllability are found explicitly. But these controls may have a rather complicated form.

The main goal of the Section 2 is to build bang-bang controls solving the approximate null-controllability problem. We show that this problem can be reduced to a system of Markov power moment problems. They may be solved by the method given in [9]. Further, we prove that solutions of the Markov power moment problems give us solutions of the approximate null-controllability problem (Theorems 2.3, 2.4).

In Sections 3 and 4 some auxiliary statements are proved.

### 1. Null-controllability problems

Consider the control system (0.2), (0.3) with the initial conditions

$$\begin{cases} w(x, 0) = w_0^0(x) \\ \partial w(x, 0)/\partial t = w_1^0(x) \end{cases}, \quad x_1 \in \mathbb{R}, \quad x_2 > 0, \quad (1.1)$$

and the steering conditions

$$\begin{cases} w(x, T) = w_0^T(x) \\ \partial w(x, T)/\partial t = w_1^T(x) \end{cases}, \quad x_1 \in \mathbb{R}, \quad x_2 > 0, \quad (1.2)$$

where  $w^0 = \begin{pmatrix} w_0^0 \\ w_1^0 \end{pmatrix} \in \mathcal{H}^s$ ,  $w^T = \begin{pmatrix} w_0^T \\ w_1^T \end{pmatrix} \in \mathcal{H}^s$ . We consider solutions of the problem (0.2), (0.3) in the space  $\mathcal{H}^s$ .

Let  $T > 0$ ,  $w^0 \in \mathcal{H}^s$ . Denote by  $\mathcal{R}_T(w^0)$  the set of states  $w^T \in \mathcal{H}^s$  for which there exists a control  $u \in \mathcal{B}(0, T)$  such that the problem (0.2), (0.3), (1.1), (1.2) has a unique solution.

**Definition 1.1.** *A state  $w^0 \in \mathcal{H}^s$  is called null-controllable at a given time  $T > 0$  if  $0$  belongs to  $\mathcal{R}_T(w^0)$  and approximately null-controllable at a given time  $T > 0$  if  $0$  belongs to the closure of  $\mathcal{R}_T(w^0)$  in  $\mathcal{H}^s$ .*

Let  $w^0 = \Omega_2 w^0$ ,  $w^T = \Omega_2 w^T$ ,  $w(\cdot, t) = \Omega_2 \begin{pmatrix} w(\cdot, t) \\ \partial w(\cdot, t)/\partial t \end{pmatrix}$ , where  $\Omega_2$  is the odd-extension operator with respect to  $x_2$ . Evidently,  $w^0 \in \tilde{H}^s$ ,  $w^T \in \tilde{H}^s$ ,  $w(\cdot, t) \in \tilde{H}^s$  ( $t \in (0, T)$ ). It is easy to see that control problem (0.2), (0.3), (1.1), (1.2) is equivalent to the following problem for system (0.1):

$$w(x, 0) = w^0, \quad (1.3)$$

$$w(x, T) = w^T. \quad (1.4)$$

Let us investigate this new problem. First we analyze the following auxiliary Cauchy problem: system (0.1) with an arbitrary parameter  $u \in \mathcal{B}(0, T)$  under initial condition (1.3).

Applying the Fourier transform with respect to  $x$  to problem (0.1), (1.3), we obtain the following Cauchy problem in  $\widehat{H}_s$ :

$$\frac{dv}{dt} = \begin{pmatrix} 0 & 1 \\ -|\sigma|^2 & 0 \end{pmatrix} v - \frac{i\sigma_2}{\pi} \begin{pmatrix} 0 \\ 1 \end{pmatrix} u, \quad t \in (0, T), \quad (1.5)$$

$$v(\cdot, 0) = v^0, \quad (1.6)$$

where  $v(\cdot, t) = \mathcal{F}w(\cdot, t)$ ,  $t \in [0, T]$ ,  $v^0 = \mathcal{F}w^0$ . Then the function

$$v(\sigma, t) = \Sigma(|\sigma|, t) \left( v^0(\sigma) - \frac{i\sigma_2}{\pi} \int_0^t \Sigma(|\sigma|, -\tau) \begin{pmatrix} 0 \\ 1 \end{pmatrix} u(\tau) d\tau \right), \quad t \in [0, T], \quad (1.7)$$

where

$$\Sigma(\rho, t) \equiv \begin{pmatrix} \cos(\rho t) & \frac{\sin(\rho t)}{\rho} \\ -\rho \sin(\rho t) & \cos(\rho t) \end{pmatrix} \equiv \begin{pmatrix} \partial/\partial t & 1 \\ (\partial/\partial t)^2 & \partial/\partial t \end{pmatrix} \frac{\sin(\rho t)}{\rho}$$

is a unique solution of (1.5), (1.6) in  $\widehat{H}_s$ .

Put  $E(|x|, t) = \mathcal{F}_\sigma^{-1} \Sigma(|\sigma|, t) / (2\pi)$ . It is well known that

$$F^{-1} \left[ \frac{\sin(|\sigma|t)}{|\sigma|} \right] (x) = \frac{\text{sign } t H(|t| - |x|)}{\sqrt{t^2 - |x|^2}}, \quad (1.8)$$

where  $H$  is the Heaviside function:  $H(\xi) = 1$  if  $\xi \geq 0$  and  $H(\xi) = 0$  otherwise. Then we have

$$E(r, t) = \frac{1}{2\pi} \begin{pmatrix} \partial/\partial t & 1 \\ (\partial/\partial t)^2 & \partial/\partial t \end{pmatrix} \frac{\text{sign } t H(|t| - |x|)}{\sqrt{t^2 - |x|^2}}.$$

It follows from (1.7) that

$$w(x, T) = E(|x|, T) * \left[ w^0(x) - \frac{1}{\pi} \frac{\partial}{\partial x_2} \mathcal{F}^{-1} \left( \int_0^T \begin{pmatrix} -\frac{\sin(|\sigma|t)}{|\sigma|} \\ \cos(|\sigma|t) \end{pmatrix} u(t) dt \right) \right]. \quad (1.9)$$

Here and further  $*$  is the convolution with respect to  $x$ . With regard to Lemma 4.1 we get

$$w(x, T) = E(|x|, T) * \left[ w^0(x) - \frac{1}{\sqrt{2\pi}} \frac{x_2}{|x|} \Phi \begin{pmatrix} \mathcal{U} \\ \mathcal{U}' \end{pmatrix} (|x|) \right], \quad (1.10)$$

where  $\mathcal{U}(t) = u(t) (H(t) - H(t - T))$ ,  $t \in \mathbb{R}$ .

Denote for  $w^0 \in \widehat{H}^s$

$$R_T(w^0) = \left\{ E(|x|, T) * \left[ w^0(x) - \frac{1}{\sqrt{2\pi}} \frac{x_2}{|x|} \Phi \begin{pmatrix} \mathcal{U} \\ \mathcal{U}' \end{pmatrix} (|x|) \right] \mid u \in \mathcal{B}(0, T) \right\}.$$

Then Definition 1.1 is equivalent to

**Definition 1.2.** A state  $w^0 \in \tilde{H}^s$  is called null-controllable at a given time  $T > 0$  if  $0$  belongs to  $R_T(w^0)$  and approximately null-controllable at a given time  $T > 0$  if  $0$  belongs to the closure of  $R_T(w^0)$  in  $\tilde{H}^s$ .

Obviously, the following two statements are true.

**Statement 1.1.** A state  $w_0 \in \mathcal{H}^s$  is null-controllable at a given time  $T > 0$  iff the state  $w^0 = \Omega_2 w_0$  is null-controllable at this time.

**Statement 1.2.** A state  $w_0 \in \mathcal{H}^s$  is approximately null-controllable at a given time  $T > 0$  iff the state  $w^0 = \Omega_2 w_0$  is approximately null-controllable at this time.

Further we consider the (approximate) null-controllability problem for the system (0.1) where  $w^0$  is an odd function with respect to  $x_2$ .

The following theorem give us sufficient conditions for (approximate) null-controllability.

**Theorem 1.1.** For a state  $w^0 \in \tilde{H}^s$  assume that there exists  $\bar{w}^0 \in \mathcal{S}'$  such that following conditions hold:

$$w^0 = \frac{x_2}{|x|} \bar{w}^0(|x|) \quad \text{in } H_0^s \times H_0^{s-1}, \quad (1.11)$$

$$\text{supp } \bar{w}^0 \subset [0, T], \quad (1.12)$$

$$|\bar{w}_0^0(r)| \leq \frac{T}{\pi r \sqrt{T^2 - r^2}} \quad \text{a.e. on } (0, T), \quad (1.13)$$

$$\bar{w}_1^0(r) = \frac{d}{dr} \left[ \bar{w}_0^0(r) + \int_{-\infty}^{\infty} \bar{w}_0^0(\xi) k(\xi, r) d\xi \right], \quad (1.14)$$

where  $k(\xi, r) = \frac{2}{\pi} H(\xi(\xi - r)) \int_0^{\pi/2} \frac{\sin^2 \alpha d\alpha}{\sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha}}$ . Then the state  $w^0$  is null-controllable at the time  $T$ . Moreover, the solution of the null-controllability problem (the control  $u$ ) is unique and

$$u(t) = 2t \int_t^T \frac{\bar{w}_0^0(r) dr}{\sqrt{r^2 - t^2}} \quad \text{a.e. on } (0, T).$$

P r o o f. Put

$$\mathcal{U} = \frac{1}{\sqrt{2\pi}} \Phi \bar{w}_0^0. \quad (1.15)$$

It follows from (1.12) and Lemma 3.2 that  $\text{supp } \mathcal{U} \subset (0, T)$  and

$$\mathcal{U}(t) = 2t \int_t^T \frac{\bar{w}_0^0(r) dr}{\sqrt{r^2 - t^2}} \quad \text{a.e. on } (0, T).$$

Denote  $u(t) = \mathcal{U}(t)$ ,  $t \in (0, T)$ . Due to (1.13) we obtain  $|u(t)| \leq 1$  a.e. on  $(0, T)$ . Applying Lemma 4.2 and (1.15), we have

$$\bar{w}_1^0 = \frac{d}{dr} \left[ \bar{w}_0^0 + \int_{-\infty}^{\infty} \bar{w}_0^0(\xi) k(\xi, \cdot) d\xi \right] = \Phi \frac{d}{dt} \Phi^{-1} \bar{w}_0^0 = \frac{1}{\sqrt{2\pi}} \Phi \mathcal{U}'.$$

Finally, taking into account (1.10), (1.11), (1.15), we get that  $w(x, T) = 0$  for the found control  $u$  where  $w$  is a solution of the Cauchy problem (0.1), (1.3). Invertibility of the operator  $\Phi$  (see Sect. 4) implies uniqueness of the control  $u$  solving the null-controllability problem.

Thus the state  $w^0$  is null-controllable at the time  $T$  that was to be proved.

The following theorem asserts that conditions (1.11)–(1.14) are not only sufficient but also necessary for (approximate) null-controllability.

**Theorem 1.2.** *If a state  $w^0 \in \tilde{H}^s$  is approximately null controllable at a given time  $T > 0$  then there exists  $\bar{w}^0 \in \mathcal{S}'$  such that conditions (1.11)–(1.14) hold.*

P r o o f. For each  $n \in \mathbb{N}$  there exists a state  $w^n \in R_T(w^0)$  such that  $\|w^n\|^s < 1/n$ . With regard to (1.10) for some  $u_n \in \mathcal{B}(0, T)$  we have

$$w^n(x) = E(|x|, T) * \left[ w^0(x) - \frac{1}{\sqrt{2\pi}} \frac{x_2}{|x|} \Phi \begin{pmatrix} \mathcal{U}_n \\ \mathcal{U}'_n \end{pmatrix} (|x|) \right], \quad t \in \mathbb{R},$$

where  $\mathcal{U}_n(t) = u_n(t) (H(t) - H(t - T))$ . Using Lemma 4.4, we obtain

$$\frac{1}{\sqrt{2\pi}} \frac{x_2}{|x|} \Phi \begin{pmatrix} \mathcal{U}_n \\ \mathcal{U}'_n \end{pmatrix} (|x|) \longrightarrow w^0 \quad \text{as } n \longrightarrow \infty \text{ in } \tilde{H}^s. \quad (1.16)$$

Therefore  $w^0 = \frac{x_2}{|x|} \bar{w}^0(|x|)$ . According to the Lemma 3.2  $\text{supp } \bar{w}_0^0 \subset [0, T]$ . Thus (1.11), (1.12) are true. Denote  $\Phi \mathcal{U}_n = h_0^n$ ,  $\Phi \mathcal{U}'_n = h_1^n$ . Taking into account Lemma 4.3, we obtain

$$|h_0^n| \leq \frac{T}{\pi r \sqrt{T^2 - r^2}}, \quad r \in (0, T). \quad (1.17)$$

Let an arbitrary  $\varepsilon > 0$  be fixed,  $V(\varepsilon) = \{x \in \mathbb{R}^2 \mid |x| < \varepsilon\}$ . It follows from (1.16) that

$$h^n(|x|) \longrightarrow \overline{w}^0(|x|) \quad \text{as } n \longrightarrow \infty \text{ in } \mathcal{S}'. \quad (1.18)$$

Since  $h_0^n(|x|) \in L^2(\mathbb{R}^2 \setminus V(\varepsilon))$  and  $\mathcal{S}$  is dense in  $L^2(\mathbb{R}^2)$  we obtain

$$h_0^n(|x|) \longrightarrow \overline{w}_0^0(|x|) \quad \text{as } n \longrightarrow \infty \text{ in } (L^2(\mathbb{R}^2 \setminus V(\varepsilon)))'.$$

By the Riesz theorem we conclude that  $\overline{w}_0^0(|x|) \in L^2(\mathbb{R}^2 \setminus V(\varepsilon))$  and  $\overline{w}_0^0 \in L^2(\varepsilon, +\infty)$ . Taking into account arbitrariness of  $\varepsilon > 0$  and (1.17), we get (1.13). We have  $h_1^n = \Phi \frac{d}{dt} \Phi^{-1} h_0^n$ . Due to Lemmas 3.1, 4.2 and (1.17) we get (1.14). The theorem is proved.

## 2. Bang-bang controls and the Markov power moment problem

The solution of the null-controllability problem (i.e., the control) found in Sect. 1 may be too complicated for the practical purposes. In this section we find bang-bang controls solving the approximate null-controllability problem. We consider a system of Markov power moment problems and show that their bang-bang solutions are solutions of the approximate null-controllability problem.

Consider control system (0.1), (1.3) and assume that for  $T > 0$  and  $w^0 \in \widetilde{H}^s$  conditions (1.11)–(1.14) hold. According to Theorem 1.1 there exists  $\tilde{u} \in \mathcal{B}(0, T)$  such that

$$\overline{w}^0 = \frac{1}{\sqrt{2\pi}} (\Phi \tilde{U})(r), \quad (2.1)$$

where  $\tilde{U}(t) = \tilde{u}(t)[H(t) - H(t - T)]$ . With regard to Lemma 4.1 and (1.11) we get

$$v^0(\sigma) = \frac{1}{\pi} i\sigma_2 \int_0^T \begin{pmatrix} -\frac{\sin(|\sigma|t)}{|\sigma|} \\ \cos(|\sigma|t) \end{pmatrix} \tilde{u}(t) dt,$$

where  $v^0 = \mathcal{F}\Omega_2 w^0$ . Put

$$h(\rho, u) = \frac{1}{\pi} \int_0^T \begin{pmatrix} -\frac{\sin(\rho t)}{\rho} \\ \cos(\rho t) \end{pmatrix} (\tilde{u}(t) - u(t)) dt. \quad (2.2)$$

Then for system (1.5), (1.6) we get

$$v(\sigma, T) = \Sigma(|\sigma|, T) i\sigma_2 h(|\sigma|, u).$$

With regard to (1.7) and Lemma 4.4 we conclude that

$$\|v(\sigma, T)\|_s \leq \sqrt{4T^2 + 6} \|i\sigma_2 h(|\sigma|, u)\|_s.$$



We have

$$\left( \|i\sigma_2 h_j(|\sigma|, u)\|_{s-j}^0 \right)^2 = \pi \int_0^\infty (1 + \rho^2)^{s-j} |h_j(|\sigma|, u)|^2 \rho^3 d\rho, \quad j = 0, 1.$$

Hence

$$\|v(\sigma, T)\|_s \leq \sqrt{4T^2 + 6} \pi \left( \sum_{j=0}^1 \int_0^\infty (1 + \rho^2)^{s-j} |h_j(|\sigma|, u)|^2 \rho^3 d\rho \right)^{1/2}. \quad (2.3)$$

Thus we have proved

**Theorem 2.1.** *Assume that  $T > 0$  and for a state  $w^0 \in \tilde{H}^s$  conditions (1.11)–(1.14) are fulfilled. Then the following two assertions hold:*

- i.  $w^0$  is null-controllable at the time  $T$  iff there exists  $u \in \mathcal{B}(0, T)$  such that  $h(\rho, u) \equiv 0$  on  $\mathbb{R}$ ;*
- ii.  $w^0$  is approximately null-controllable at the time  $T$  iff for each  $\varepsilon > 0$  there exists  $u_\varepsilon \in \mathcal{B}(0, T)$  such that*

$$\int_0^\infty (1 + \rho^2)^{s-j} |h_j(|\sigma|, u_\varepsilon)|^2 \rho^3 d\rho < \varepsilon^2, \quad j = 0, 1. \quad (2.4)$$

Moreover, if estimate (2.4) is true then

$$\|w(\cdot, T)\|_s = \|v(\cdot, T)\|_s \leq \pi \varepsilon \sqrt{4T^2 + 6}, \quad (2.5)$$

where  $w$  and  $v$  are solutions of (0.1), (1.3) and (1.5), (1.6), respectively.

Due to the Wiener–Paley theorem we conclude that  $h(\rho, u)$  is an entire function with respect to  $\rho$ . Let us expand it in the Taylor series. To do this we calculate  $h^{(m)}(0, u)$  (we consider the derivatives with respect to  $\rho$ ). Put

$$\tilde{v}^0(\rho) = \frac{1}{\pi} \int_0^T \left( \begin{array}{c} -\frac{\sin(\rho t)}{\rho} \\ \cos(\rho t) \end{array} \right) \tilde{u}(t) dt, \quad \tilde{w}^0(|x|) = \mathcal{F}^{-1} \tilde{v}^0(|\sigma|). \quad (2.6)$$

Evidently  $\tilde{v}^0$  is also entire. With regard to (1.11) and Lemma 4.1 we get

$$\overline{w}^0 = \tilde{w}^{0'}. \quad (2.7)$$

According to (1.11), (1.12) and (1.14), we conclude that

$$\tilde{w}_0^0(r) = (H(r) - H(r - T)) \int_r^T \frac{\tilde{u}(t) dt}{\sqrt{t^2 - r^2}}, \quad (2.8)$$

$$\tilde{w}_1^0(r) = \tilde{w}_0^0(r) + \int_{-\infty}^{\infty} \tilde{w}_0^0(\xi) k(\xi, r) d\xi. \quad (2.9)$$

Obviously,  $\text{supp } \tilde{w}_0^0 \subset [0, T]$ . It follows from (1.12) that  $\text{supp } \tilde{w}_1^0 \subset [0, T]$ . Taking into account

$$\begin{aligned} & \frac{2T}{\pi} \int_r^T \frac{1}{\xi \sqrt{T^2 - \xi^2}} \int_0^{\pi/2} \frac{\sin^2 \alpha d\alpha}{\sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha}} d\xi \\ & \leq \frac{T}{r} \int_r^T \frac{1}{\xi \sqrt{T^2 - \xi^2}} = \frac{1}{2r} \ln \left| \frac{T + \sqrt{T^2 - r^2}}{T - \sqrt{T^2 - r^2}} \right|, \quad r \in (0, T), \end{aligned} \quad (2.10)$$

and (1.13), (1.14), we get

$$|\tilde{w}_0^0(r)| \leq \int_r^T \frac{dt}{\sqrt{t^2 - r^2}} = -\ln \left( \frac{T}{r} - \sqrt{\left(\frac{T}{r}\right)^2 - 1} \right), \quad r \in (0, T), \quad (2.11)$$

$$\begin{aligned} |\tilde{w}_1^0(r)| & \leq \frac{T}{\pi r \sqrt{T^2 - r^2}} + \frac{1}{\pi} \int_r^T \frac{T}{\pi \xi \sqrt{T^2 - \xi^2}} \int_0^{\pi/2} \frac{d\alpha}{\sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha}} d\xi \\ & = \frac{T}{\pi r \sqrt{T^2 - r^2}} + \frac{1}{2r} \ln \left| \frac{T + \sqrt{T^2 - r^2}}{T - \sqrt{T^2 - r^2}} \right|, \quad r \in (0, T). \end{aligned} \quad (2.12)$$

Taking into account (2.11), (2.12), (2.6), we obtain  $\tilde{v}^{0(2m+1)}(0) = 0$ :

$$\begin{aligned} \tilde{v}^{0(2m)}(0) & = \frac{1}{\pi} \frac{d^{2m}}{d\rho^{2m}} \int_0^{\infty} \left( \int_0^{\pi} e^{-ir\rho \cos \varphi} d\varphi \right) \tilde{w}^0(r) dr \Big|_{\rho=0} \\ & = \frac{(-1)^m}{\pi} \int_0^{\infty} \left( \int_0^{\pi} \cos^{2m} \varphi d\varphi \right) r^{2m+1} \tilde{w}^0(r) dr \\ & = \frac{(-1)^m}{\pi} B \left( m + \frac{1}{2}, \frac{1}{2} \right) \int_0^{\infty} r^{2m+1} \tilde{w}^0(r) dr, \end{aligned} \quad (2.13)$$

where  $B(\cdot, \cdot)$  is the Euler beta-function. Therefore

$$\tilde{v}_0^{0(2m)}(0) = \frac{(-1)^m}{\pi(2m+2)} B\left(m + \frac{1}{2}, \frac{1}{2}\right) \int_0^\infty r^{2m+2} \overline{w}_0^0(r) dr.$$

With regard to (2.9) we have

$$\begin{aligned} \tilde{v}_1^{0(2m)}(0) &= \frac{(-1)^m}{\pi} B\left(m + \frac{1}{2}, \frac{1}{2}\right) \left[ \int_0^\infty r^{2m+1} \overline{w}_0^0(r) dr \right. \\ &\quad \left. + \int_0^\infty r^{2m+1} \int_r^\infty \overline{w}_0^0(\xi) k(\xi, r) d\xi dr \right]. \end{aligned}$$

Since

$$\int_0^{\pi/2} \sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha} d\alpha = \int_r^\xi \frac{t^2 dt}{\sqrt{\xi^2 - t^2} \sqrt{t^2 - r^2}}$$

then

$$\begin{aligned} \int_0^\xi r^{2m+1} k(\xi, r) dr &= -\xi^{2m+1} + \frac{2}{\pi} \frac{1}{\xi} \frac{d}{d\xi} \int_0^\xi r^{2m+1} \int_r^\xi \frac{t^2 dt}{\sqrt{\xi^2 - t^2} \sqrt{t^2 - r^2}} dr \\ &= -\xi^{2m+1} + \frac{2}{\pi} \frac{1}{\xi} \frac{d}{d\xi} \int_0^{\pi/2} \xi^{2m+3} \sin^{2m+3} \psi d\psi \int_0^{\pi/2} \sin^{2m+1} \varphi d\varphi \\ &= -\xi^{2m+1} + \frac{2m+3}{2\pi} B\left(m+1, \frac{1}{2}\right) B\left(m+2, \frac{1}{2}\right). \end{aligned}$$

Therefore

$$\begin{aligned} \tilde{v}_1^{0(2m)}(0) &= \frac{(-1)^m(2m+3)}{2\pi^2} B\left(m + \frac{1}{2}, \frac{1}{2}\right) B\left(m+1, \frac{1}{2}\right) B\left(m+2, \frac{1}{2}\right) \\ &\quad \times \int_0^\infty r^{2m+1} \overline{w}_0^0(r) dr. \end{aligned}$$

Put

$$\omega_n = \int_0^\infty r^{n+1} \overline{w}_0^0(r) dr. \tag{2.14}$$

Hence

$$\tilde{v}_0^{0(2m)}(0) = (-1)^{m+1} \frac{(2m-1)!!}{(2m+2)!!}, \quad (2.15)$$

$$\tilde{v}_1^{0(2m)}(0) = \frac{(-1)^m (2m+2)!!}{\pi (2m+1)!!}. \quad (2.16)$$

We have

$$h^{(2m+1)}(0, u) = 0, \quad (2.17)$$

and  $h^{(2m)}(0, u) = 0$  iff

$$0 = \tilde{v}_0^{0(2m)}(0) + \frac{(-1)^m}{2m+1} \int_0^T t^{2m+1} u(t) dt, \quad (2.18)$$

$$0 = \tilde{v}_1^{0(2m)}(0) - (-1)^m \int_0^T t^{2m} u(t) dt. \quad (2.19)$$

Thus

$$h^{(n)}(0, u) = 0, \quad (2.20)$$

iff

$$\int_0^T t^n u(t) dt = \bar{\omega}_n, \quad n = \overline{0, \infty}, \quad (2.21)$$

where

$$\bar{\omega}_{2m} = \frac{(2m+2)!!}{\pi(2m+1)!!} \omega_{2m}, \quad (2.22)$$

$$\bar{\omega}_{2m+1} = \frac{(2m+1)!!}{(2m+2)!!} \omega_{2m+1}. \quad (2.23)$$

According to Theorem 2.1, we obtain that the state  $w^0$  is null-controllable at the time  $T$  iff (2.21) is valid.

The problem of determination of a function  $u \in \mathcal{B}(0, T)$  satisfying condition (2.21) for a given  $\{\bar{\omega}_n\}_{n=0}^\infty$  and  $T > 0$  is called a Markov power moment problem on  $(0, T)$  for the infinite sequence  $\{\bar{\omega}_n\}_{n=0}^\infty$ .

Uniqueness of the solution of the null-controllability problem yields uniqueness of the solution of the Markov moment problem (2.21) (see Theorem 1.1). Hence  $u = \bar{u}$  is the unique solution of this Markov moment problem.

Thus we have proved

**Theorem 2.2.** Assume that  $T > 0$  and for a state  $w^0 \in \tilde{H}^s$  conditions (1.11)–(1.14). Assume also that  $\{\bar{\omega}_n\}_{n=0}^\infty$  is defined by (2.14), (2.22), (2.23). Then Markov power moment problem (2.21) on  $(0, T)$  for  $\{\bar{\omega}_n\}_{n=0}^\infty$  has a unique solution. Moreover, this solution is a solution of the null-controllability problem for  $w^0$  at the time  $T$ .

Consider (2.21) for a finite set of  $n$ :

$$\int_0^T t^n u(t) dt = \bar{\omega}_n, \quad n = \overline{0, N}. \quad (2.24)$$

The problem of determination of a function  $u \in \mathcal{B}(0, T)$  satisfying condition (2.24) for a given  $\{\bar{\omega}_n\}_{n=0}^N$  and  $T > 0$  is called a Markov power moment problem on  $(0, T)$  for the finite sequence  $\{\bar{\omega}_n\}_{n=0}^N$ .

Obviously,  $u = \bar{u}$  is a solution of this problem, but it is not unique.

Let us show that solutions of moment problem (2.24) for various  $N$  give us controls solving the approximate null-controllability problem.

**Theorem 2.3.** Let  $T > 0$ ,  $w^0 \in \tilde{H}^s$ ,  $s < -1$ . Let also conditions (1.11)–(1.14) be fulfilled and  $\{\bar{\omega}_n\}_{n=0}^\infty$  be defined by (2.14), (2.22), (2.23). Then  $\forall \varepsilon > 0$  there exists  $N > 0$  such that for each solution  $u_N \in \mathcal{B}(0, T)$  of moment problem (2.24) the corresponding solution  $w$  of control system (0.1), (1.3) satisfies the condition  $\|w(\cdot, T)\|^s < \varepsilon$ .

*P r o o f.* Let  $N = 2K + 1$ ,  $u_N \in \mathcal{B}(0, T)$  be a solution of problem (2.24). With regard to (2.20) and (2.21) for the function  $h(\rho, u)$  defined by (2.2) we get

$$h^{(n)}(0, u_N) = 0, \quad n = \overline{0, 2K + 1}.$$

By the Taylor formula for  $|\rho| < a$  we obtain

$$|\rho^{1-j} h_j(\rho, u_N)| \leq \frac{a^{2K+2}}{(2K+2)!} \sup_{|\xi| \leq a} \left| (\xi^{1-j} h_j)^{(2K+2)}(\xi, u_N) \right|, \quad j = 0, 1.$$

Taking into account (2.2), we conclude that

$$\left| (\xi^{1-j} h_j(\xi, u_N))^{(2K+2)} \right| \leq \frac{T^{2K+3}}{\pi(2K+3)}, \quad j = 0, 1.$$

Hence

$$|\rho^{1-j} h_j(\rho, u_N)| \leq \frac{T (Ta)^{2K+2}}{\pi (2K+3)!}, \quad j = 0, 1, |\rho| \leq a.$$

Then

$$\int_0^a (1 + \rho^2)^{s-j} |h_j(\rho, u_N)|^2 \rho^3 d\rho \leq \frac{a (Ta)^{2K+3}}{\pi (2K+3)!}, \quad j = 0, 1. \quad (2.25)$$

With regard to (2.2) we get

$$|\rho^{1-j} h_j(\rho, u_N)| \leq \frac{T}{\pi}, \quad j = 0, 1, \rho > 0.$$

Therefore

$$\begin{aligned} \int_a^\infty (1 + \rho^2)^{s-j} |h_j(\rho, u_N)|^2 \rho^3 d\rho \\ \leq \frac{T}{\pi} \int_a^\infty (1 + \rho^2)^s \rho d\rho \leq -\frac{Ta^{2(s+1)}}{2\pi(s+1)}, \quad j = 0, 1. \end{aligned}$$

Taking into account (2.25), we obtain

$$\pi \int_0^\infty (1 + \rho^2)^{s-j} |h_j(\rho, u_N)|^2 \rho^3 d\rho \leq \frac{a(Ta)^{2K+3}}{(2K+3)!} - \frac{Ta^{2(s+1)}}{2(1+s)}, \quad j = 0, 1.$$

Due to Theorem 2.1 and (2.3) we conclude that

$$\|w(\cdot, T)\|^s \leq \sqrt{2T^2 + 3} \left[ \frac{a(Ta)^{2K+3}}{(2K+3)!} - \frac{Ta^{2(s+1)}}{2(1+s)} \right]. \quad (2.26)$$

Applying the Stirling formula, we have

$$\frac{(Ta)^{2K+3}}{(2K+3)!} \leq \left( \frac{Tae}{2K+3} \right)^{2K+3} \frac{1}{\sqrt{2\pi(2K+3)}}.$$

Setting  $a = (2K+3)/(2Te)$ , we obtain from (2.26) that

$$\|w(\cdot, T)\|^s \leq \sqrt{2T^2 + 3} \left[ \frac{\sqrt{2K+3}}{Te^{4K+2}} - \frac{T}{2s+2} \left( \frac{2K+3}{2Te} \right)^{2s+2} \right] \rightarrow 0 \text{ as } K \rightarrow \infty. \quad (2.27)$$

The theorem is proved.

Denote

$$\begin{aligned} \mathcal{B}^N(0, T) &= \{u \in \mathcal{B}(0, T) \mid \exists T_* \in (0, T) (|u(t)| = 1 \text{ a.e. on } (0, T_*)) \\ &\wedge (u(t) = 0 \text{ a.e. on } (T_*, T)) \\ &\wedge (u \text{ has no more than } N \text{ discontinuity points on } (0, T_*))\}. \end{aligned}$$

It is well known [11, 12] that if Markov power moment problem (2.24) is solvable then there exists its solution  $u \in \mathcal{B}^N(0, T)$ . Taking into account Theorem 2.3, we conclude that under the conditions of this theorem we can find a solution  $u_K \in \mathcal{B}^{2K+1}(0, T)$  of Markov power moment problem (2.24) for  $N = 2K + 1$  and such solutions  $\{u_K\}_{K=1}^\infty$  give us bang-bang controls solving the approximate null-controllability problem (see also (2.27)).

Thus the following theorem is true.

**Theorem 2.4.** *Let  $T > 0$ ,  $w^0 \in \tilde{H}^s$ ,  $s < -1$ . Let also conditions (1.11)–(1.14) be fulfilled and  $\{\bar{w}_n\}_{n=0}^\infty$  be defined by (2.14), (2.22), (2.23). Then  $\forall K \in \mathbb{N}$  there exists a solution  $u_K \in \mathcal{B}^{2K+1}(0, T)$  of moment problem (2.24) with  $N = 2K + 1$ . Moreover, for this  $u_K$  the corresponding solution  $w$  of control system (0.1), (1.3) satisfies the estimate*

$$\|w(\cdot, T)\|^s \leq \sqrt{2T^2 + 3} \left[ \frac{\sqrt{2K+3}}{Te^{4K+2}} - \frac{T}{2s+2} \left( \frac{2K+3}{2Te} \right)^{2s+2} \right]. \quad (2.28)$$

Let us show that the condition  $s < -1$  of Theorems 2.3, 2.3 is essential. Precisely if  $-1/2 \leq s \leq 0$  then  $\exists w^0 \in \tilde{H}^s \forall T > 0 \forall u \in \cup_{N \in \mathbb{N}} \mathcal{B}^N(0, T) \exists \varepsilon_0 > 0$  such that for a solution  $w$  of (0.1), (1.3), corresponding to the control  $u$  we have  $\|w(\cdot, T)\|^s \geq \varepsilon_0$ . Thus the state  $w^0$  is not approximate null-controllable at the time  $T$  by bang-bang controls in space  $\tilde{H}^s$ , if  $-1/2 \leq s \leq 0$ .

**Example 2.1.** Let  $-1/2 \leq s \leq 0$ ,  $T > 0$ ,

$$\begin{aligned} w_0^0(x) &= \frac{x_2 T}{2\pi |x|^2 \sqrt{T^2 - |x|^2}} [H(|x|) - H(|x| - T)], \\ w_1^0(x) &= \frac{x_2}{2\pi \sqrt{(T^2 - |x|^2)^3}} [H(|x|) - H(|x| - T)]. \end{aligned}$$

Obviously,  $w^0(x) = \frac{1}{\sqrt{2\pi}} \Phi \begin{pmatrix} \tilde{\mathcal{U}} \\ \tilde{\mathcal{U}}' \end{pmatrix} (|x|)$ , where  $\tilde{\mathcal{U}}(t) = \frac{1}{2} [H(t) - H(t - T)]$ .

Therefore  $w^0 \in \tilde{H}^s$  satisfies (1.11)–(1.14). Let  $u \in \mathcal{B}^N(0, T)$ ,  $n \in \mathbb{N}$ . Hence

$$u(t) = \alpha \sum_{k=0}^N (-1)^k [H(t - t_k) - H(t - t_{k+1})],$$

where  $\alpha = \pm 1$ ,  $0 = t_0 < t_1 < t_2 \cdots < t_{N+1} = T_* \leq T$ ,  $\mathcal{U}(t) = [H(t) - H(t - T)]$ . Let  $w$  be a solution of (0.1), (1.3) corresponding to the control  $u$ . According to (1.10), we have

$$\sqrt{2\pi} E(|x|, -T) * w(x, T) = \frac{x_2}{|x|} \Phi \begin{pmatrix} \tilde{\mathcal{U}} - \mathcal{U} \\ \tilde{\mathcal{U}}' - \mathcal{U}' \end{pmatrix} (|x|).$$

Put  $a = \pi/(12T)$ . With regard to Lemma 4.4 we get

$$\begin{aligned}
 \|w(x, T)\|^s &\geq \frac{1}{\sqrt{2\pi}\sqrt{4T^2+6}} \left\| \frac{x_2}{|x|} \Phi \begin{pmatrix} \tilde{U} - U \\ \tilde{U}' - U' \end{pmatrix} (|x|) \right\|^s \\
 &\geq \frac{1}{\sqrt{\pi}\sqrt{4T^2+6}} \left( \int_0^\infty (1+\rho^2)^{-1/2} \left| \int_0^T \sin(\rho t) (\tilde{U}(t) - U(t)) dt \right|^2 \rho d\rho \right)^{1/2} \\
 &\geq \frac{\sqrt{a}}{\sqrt{\pi}\sqrt[4]{1+a^2}\sqrt{4T^2+6}} \left( \int_a^\infty \left| \int_0^T \sin(\rho t) (\tilde{U}(t) - U(t)) dt \right|^2 d\rho \right)^{1/2} \\
 &\geq \frac{\sqrt{a}}{\sqrt{2}\sqrt[4]{1+a^2}\sqrt{4T^2+6}} \left[ \left( \int_{-\infty}^\infty |\mathcal{F}\Omega(\tilde{U} - U)(\rho)|^2 d\rho \right)^{1/2} \right. \\
 &\quad \left. - \left( \int_{-a}^a |\mathcal{F}\Omega(\tilde{U} - U)(\rho)|^2 d\rho \right)^{1/2} \right]. \tag{2.29}
 \end{aligned}$$

We have

$$\int_{-\infty}^\infty |\mathcal{F}\Omega(\tilde{U} - U)(\rho)|^2 d\rho \geq \frac{1}{4} \int_{-\infty}^\infty |(H(t+T) - H(t-T))|^2 dt = \frac{T}{2}. \tag{2.30}$$

On the other hand

$$\begin{aligned}
 \int_{-a}^a |\mathcal{F}\Omega(\tilde{U} - U)(\rho)|^2 d\rho &\leq \frac{3}{\pi} \int_{-a}^a \left( \frac{1}{\rho} \sum_{k=0}^N |\cos(t_k \rho) - \cos(t_{k+1} \rho)| \right)^2 d\rho \\
 &= \frac{6}{\pi} \int_0^a \left( \frac{2}{\rho} \sum_{k=0}^N \left| \sin\left(\rho \frac{t_{k+1} - t_k}{2}\right) \sin\left(\rho \frac{t_{k+1} + t_k}{2}\right) \right| \right)^2 d\rho \\
 &\leq \frac{6}{\pi} \int_0^a \left( \sum_{k=0}^N \frac{t_{k+1}^2 - t_k^2}{2} \right)^2 d\rho \leq \frac{3T^2 a}{2\pi} = \frac{T}{8}. \tag{2.31}
 \end{aligned}$$

Comparing (2.29), (2.31), we obtain

$$\|w(\cdot, T)\|^s \geq \frac{\sqrt{a}}{\sqrt{2}\sqrt[4]{1+a^2}\sqrt{4T^2+6}} \left[ \sqrt{\frac{T}{2}} - \sqrt{\frac{T}{8}} \right] \geq \frac{T}{4(4T^2+6)^{3/4}} = \varepsilon_0. \tag{2.32}$$

That was to be proved.



### 3. Operators $\Phi$ and $\Phi^*$

In this section we introduce and study operators  $\Phi$  and  $\Phi^*$ .

Let the operator  $\Phi^* : \mathcal{S} \rightarrow \mathcal{S}$  be defined by the rule

$$(\Phi^* \varphi)(t) = -\sqrt{\frac{2}{\pi}} \int_{-\infty}^{\infty} \frac{H(r(t-r))}{\sqrt{t^2-r^2}} \varphi'(r) dr, \quad \varphi \in \mathcal{S}. \quad (3.1)$$

Obviously,  $(\Phi^* \varphi)(t) = -\sqrt{\frac{2}{\pi}} \int_0^{\pi/2} \varphi'(t \sin \alpha) d\alpha$ ,  $\varphi \in \mathcal{S}$ . Hence  $\Phi^* \varphi \in \mathcal{S}$ , if  $\varphi \in \mathcal{S}$ .

It is easy to see that  $\Phi^{*-1} : \mathcal{S} \rightarrow \mathcal{S}$  can be defined by the rule

$$(\Phi^{*-1} \psi)(t) = \sqrt{\frac{2}{\pi}} \int_{-\infty}^{\infty} \frac{H(t(r-t))}{\sqrt{r^2-t^2}} t \psi(t) dt, \quad \psi \in \mathcal{S}. \quad (3.2)$$

It is clear that  $(\Phi^{*-1} \psi)(t) = \sqrt{\frac{2}{\pi}} t \int_0^{\pi/2} \psi(t \sin \alpha) \sin \alpha d\alpha$ ,  $\psi \in \mathcal{S}$ , and  $\Phi^{*-1} \psi \in \mathcal{S}$ , if  $\psi \in \mathcal{S}$ . Thus

$$\Phi^*(\mathcal{S}) = \mathcal{S} = \Phi^{*-1}(\mathcal{S}).$$

Let the operator  $\Phi : \mathcal{S}' \rightarrow \mathcal{S}'$  be defined by the rule

$$(\Phi f, \varphi) = (f, \Phi^* \varphi), \quad \varphi \in \mathcal{S}, f \in \mathcal{S}'.$$

Obviously,  $\Phi^{-1}$  is defined by

$$(\Phi^{-1} f, \varphi) = (f, \Phi^{*-1} \varphi), \quad \varphi \in \mathcal{S}, f \in \mathcal{S}'.$$

Thus

$$\Phi(\mathcal{S}') = \mathcal{S}' = \Phi^{-1}(\mathcal{S}').$$

One can easily show that the following three lemmas are true.

**Lemma 3.1.** *If  $f_n \rightarrow f$  as  $n \rightarrow \infty$  in  $\mathcal{S}'$  then  $\Phi f_n \rightarrow \Phi f$  and  $\Phi^{-1} f_n \rightarrow \Phi^{-1} f$  as  $n \rightarrow \infty$  in  $\mathcal{S}'$ .*

**Lemma 3.2.** *Let  $0 < A \leq +\infty$ ,  $f \in \mathcal{S}'$ ,  $\text{supp } f \subset [0, A]$  and  $\forall a \in (0, A)$   $f \in L^1(a, A)$ . Then  $\text{supp } \Phi f \subset [0, A]$  and*

$$(\Phi f)(r) = -\sqrt{\frac{2}{\pi}} \frac{d}{dr} \int_r^A \frac{f(t) dt}{\sqrt{t^2-r^2}}, \quad r \in (0, A).$$

**Lemma 3.3.** *Let  $0 < A \leq +\infty$ ,  $g \in S'$ ,  $\text{supp } g \subset [0, A]$  and  $\forall a \in (0, A)$   $g \in L^1(a, A)$ . Then  $\text{supp } \Phi^{-1}g \subset [0, A]$  and*

$$(\Phi^{-1}g)(t) = \sqrt{\frac{2}{\pi}} t \int_t^A \frac{g(r) dr}{\sqrt{r^2 - t^2}}, \quad t \in (0, A).$$

#### 4. Auxiliary statements

In this section we denote by  $\mathcal{S}_n$  the space of functions  $\varphi \in \mathcal{S}$  defined on  $\mathbb{R}^n$ , if we want to indicate the dimension. For each functional  $f \in \mathcal{S}'_1$ ,  $\text{supp } f \subset [0, +\infty)$ , we can define  $f(|x|) \in \mathcal{S}'_2$  by the rule

$$(f(|x|), \psi(x)) = (f(r), rS_r[\psi]), \tag{4.1}$$

where  $S_r[\psi] = \int_0^{2\pi} \psi(r \cos \alpha, r \sin \alpha) d\alpha$ ,  $r \in \mathbb{R}$ . Obviously, if  $\psi \in \mathcal{S}_2$  then  $S_r[\psi] \in \mathcal{S}_1$ .

To prove conditions for (approximate) null-controllability we need the following four lemmas.

**Lemma 4.1.** *Let  $T > 0$ ,  $u \in \mathcal{B}(0, T)$ ,  $\mathcal{U}(t) = u(t) [H(t) - H(t - T)]$ ,  $\sigma \in \mathbb{R}^2$ ,  $x \in \mathbb{R}^2$ . Then*

$$\mathcal{F}^{-1} \left[ i\sigma_2 \int_0^T \begin{pmatrix} -\frac{\sin(|\sigma|t)}{|\sigma|} \\ \cos(|\sigma|t) \end{pmatrix} u(t) dt \right] = \sqrt{\frac{\pi}{2}} \frac{x_2}{|x|} \Phi \begin{pmatrix} \mathcal{U} \\ \mathcal{U}' \end{pmatrix} (|x|). \tag{4.2}$$

**P r o o f.** Denote  $h(\rho, t) = \begin{pmatrix} -\frac{\sin(\rho t)}{\rho} \\ \cos(\rho t) \end{pmatrix} H(\rho)$ . We have

$$\mathcal{F}^{-1} \left[ i\sigma_2 \int_0^T h(|\sigma|, t) u(t) dt \right] = \frac{\partial}{\partial x_2} \mathcal{F}^{-1} \left[ \int_0^T h(|\sigma|, t) u(t) dt \right]. \tag{4.3}$$

For each  $\varphi \in \mathcal{S}_2$  we get

$$\begin{aligned} \left( \mathcal{F}^{-1} \left[ \int_0^T h(|\sigma|, t) u(t) dt \right], \varphi \right) &= \left( \int_0^T h(\rho, t) u(t) dt, \rho S_\rho [\mathcal{F}\varphi] \right) \\ &= \int_0^\infty \left( \int_0^T h(\rho, t) u(t) dt \right) \overline{\rho S_\rho [\mathcal{F}\varphi]} d\rho, \end{aligned}$$

where  $\bar{z}$  means the complex conjugation of  $z$ . Since  $\rho S_\rho [\mathcal{F}\varphi] \in \mathcal{S}_1$  we obtain

$$\begin{aligned} \left( \mathcal{F}^{-1} \left[ \int_0^T h(|\sigma|, t) u(t) dt \right], \varphi \right) &= \int_0^\infty \mathcal{U}(t) \int_0^\infty h(\rho, t) u(t) \overline{\rho S_\rho [\mathcal{F}\varphi]} d\rho dt \\ &= \left( \mathcal{U}(t), \int_0^\infty h(\rho, t) u(t) \rho S_\rho [\mathcal{F}\varphi] d\rho \right) \\ &= - \left( \begin{pmatrix} \mathcal{U}(t) \\ \mathcal{U}'(t) \end{pmatrix}, \int_0^\infty \sin(\rho t) S_\rho [\mathcal{F}\varphi] d\rho \right) \\ &= - \left( \begin{pmatrix} \mathcal{U}(t) \\ \mathcal{U}'(t) \end{pmatrix}, \int_{\mathbb{R}^2} \frac{\sin(|\sigma|t)}{|\sigma|} (\mathcal{F}\varphi)(\sigma) d\sigma \right) \\ &= - \left( \begin{pmatrix} \mathcal{U}(t) \\ \mathcal{U}'(t) \end{pmatrix}, \int_{\mathbb{R}^2} \mathcal{F}^{-1} \left[ \frac{\sin(|\sigma|t)}{|\sigma|} \right] (x) \varphi(x) dx \right). \end{aligned} \quad (4.4)$$

With regard to (4.4) and (1.8) that gives

$$\left( \mathcal{F}^{-1} \left[ \int_0^T h(|\sigma|, t) u(t) dt \right], \varphi \right) = - \left( \begin{pmatrix} \mathcal{U}(t) \\ \mathcal{U}'(t) \end{pmatrix}, \int_{-\infty}^\infty \frac{H(t(t-r))}{\sqrt{t^2-r^2}} r S_r[\varphi] dr \right). \quad (4.5)$$

Consider the operator  $\Psi^* : \mathcal{S} \rightarrow \mathcal{S}$  such that

$$(\Psi^* \mu) = \int_{-\infty}^\infty \frac{H(t(t-r))}{\sqrt{t^2-r^2}} \mu(r) dr = \int_0^{\pi/2} \mu(t \sin \alpha) d\alpha, \quad \mu \in \mathcal{S}.$$

It is clear that if  $\mu \in \mathcal{S}$  then  $\Psi^* \mu \in \mathcal{S}$ . Denote by  $\Psi$  the operator  $\Psi : \mathcal{S}' \rightarrow \mathcal{S}'$  such that

$$(\Psi f, \mu) = (f, \Psi^* \mu), \quad \mu \in \mathcal{S}, f \in \mathcal{S}'.$$

Evidently, if  $\text{supp } f \subset [0, +\infty)$  ( $f \in \mathcal{S}'$ ) then  $\text{supp } \Psi f \subset [0, +\infty)$ . One can see that  $\Phi = -\sqrt{\frac{\pi}{2}} \frac{d}{dr} \Psi$ . All this implies that

$$\mathcal{F}^{-1} \left[ \int_0^T h(|\sigma|, t) u(t) dt \right] = -\frac{\partial}{\partial x_2} \Psi \left( \begin{pmatrix} \mathcal{U} \\ \mathcal{U}' \end{pmatrix} \right) (|x|) = \sqrt{\frac{\pi}{2}} \frac{x_2}{|x|} \Phi \left( \begin{pmatrix} \mathcal{U} \\ \mathcal{U}' \end{pmatrix} \right) (|x|).$$

That was to be proved.

**Lemma 4.2.** *Let  $f \in S'$ ,  $\text{supp } f \subset [0, +\infty)$  and  $\forall a > 0$   $f \in L^1(a, +\infty)$ . Then*

$$\left( \Phi \frac{d}{dt} \Phi^{-1} f \right) (r) = \frac{d}{dr} \left[ f(r) + \int_{-\infty}^{\infty} f(\xi) k(\xi, r) d\xi \right], \quad (4.6)$$

where  $k(\xi, r) = \frac{2}{\pi} H(\xi(\xi - r)) \int_0^{\pi/2} \frac{\sin^2 \alpha d\alpha}{\sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha}}$ .

*P r o o f.* For each  $\varphi \in \mathcal{S}$  we have

$$\left( \Phi \frac{d}{dt} \Phi^{-1} f, \varphi \right) = - \left( f, \Phi^{*-1} \frac{d}{dt} \Phi^* \varphi \right). \quad (4.7)$$

With regard to (3.1), (3.2) for  $\xi > 0$  we get

$$\begin{aligned} \left( \Phi^{*-1} \frac{d}{dt} \Phi^* \varphi \right) (\xi) &= \frac{2}{\pi} \int_0^{\xi} \frac{1}{\sqrt{\xi^2 - t^2}} \frac{d}{dt} \left[ t \int_0^t \frac{\varphi'(r) dr}{\sqrt{t^2 - r^2}} \right] dt \\ &= \frac{2}{\pi} \frac{1}{\xi} \frac{d}{d\xi} \int_0^{\xi} \sqrt{\xi^2 - t^2} \frac{d}{dt} \left[ t \int_0^t \frac{\varphi'(r) dr}{\sqrt{t^2 - r^2}} \right] dt \\ &= \frac{2}{\pi} \frac{1}{\xi} \frac{d}{d\xi} \int_0^{\xi} \varphi'(r) \int_r^{\xi} \frac{t^2 dt}{\sqrt{\xi^2 - t^2} \sqrt{t^2 - r^2}} dr \\ &= \frac{2}{\pi} \frac{1}{\xi} \frac{d}{d\xi} \int_0^{\xi} \varphi'(r) \int_0^{\pi/2} \sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha} d\alpha dr \\ &= \varphi'(\xi) + \frac{2}{\pi} \int_0^{\xi} \varphi'(r) \int_0^{\pi/2} \frac{\sin^2 \alpha d\alpha}{\sqrt{\xi^2 \sin^2 \alpha + r^2 \cos^2 \alpha}} d\alpha dr. \end{aligned}$$

Taking into account (4.7), we obtain

$$\begin{aligned} \left( \Phi \frac{d}{dt} \Phi^{-1} f, \varphi \right) &= - \left( f, \varphi'(\xi) + \int_{-\infty}^{\infty} \varphi'(r) k(\xi, r) dr \right) \\ &= - \left( \frac{d}{dr} \left[ f(r) + \int_{-\infty}^{\infty} f(\xi) k(\xi, r) d\xi \right], \varphi \right). \end{aligned} \quad (4.8)$$

Hence (4.6) holds, and the lemma is proved.

**Lemma 4.3.** *Let  $u \in \mathcal{B}(0, T)$ ,  $\mathcal{U}(t) = u(t) [H(t) - H(t - T)]$ . Then  $\text{supp } \Phi\mathcal{U} \subset [0, T]$  and*

$$|(\Phi\mathcal{U})(r)| \leq \frac{\sqrt{2}T}{\sqrt{\pi r} \sqrt{T^2 - r^2}}, \quad r \in (0, T). \quad (4.9)$$

*P r o o f.* According to the Lemma 3.2, we obtain  $\text{supp } \Phi\mathcal{U} \subset [0, T]$ . We also have that

$$(\Phi\mathcal{U})(r) = \sqrt{\frac{2}{\pi}} \frac{d}{dr} \int_r^T \frac{u(t) dt}{\sqrt{t^2 - r^2}}, \quad r \in (0, T).$$

Denote  $f_n(r) = \int_r^T \frac{u(t) dt}{\sqrt{t^2 - (r - 1/n)^2}}$ ,  $f(r) = \int_r^T \frac{u(t) dt}{\sqrt{t^2 - r^2}}$  ( $r \in (0, T]$ ).

One can see that

$$f_n(r) \rightarrow f(r) \quad \text{as } n \rightarrow \infty, \quad r \in (0, T]. \quad (4.10)$$

First let us prove that  $\forall r_0 \in (0, T) \forall \varepsilon \in (0, T - r_0)$  we have

$$f'_n(r) \rightrightarrows f'(r) \quad \text{as } n \rightarrow \infty, \quad \text{on } [r_0, T - \varepsilon]. \quad (4.11)$$

Let  $\forall r_0 \in (0, T) \forall \varepsilon \in (0, T - r_0)$  be fixed. We have

$$f'_n(r) = -\frac{u(r)}{\sqrt{r^2 - (r - 1/n)^2}} + (r - 1/n) \int_r^T \frac{u(t) dt}{(t^2 - (r - 1/n)^2)^{3/2}}. \quad (4.12)$$

Let  $n > m > 0$  be large enough. Denote

$$g_r(\xi) = -\frac{u(r)}{\sqrt{r^2 - (r - 1/n)^2}} + (r - 1/n) \int_r^T \frac{u(t) dt}{(t^2 - (r - 1/n)^2)^{3/2}}, \quad \xi \in [r - 1/n, r - 1/m].$$

Applying the mean value theorem to  $g_r(\xi)$  (with respect to  $\xi$ ), we get

$$\begin{aligned} |f_n(r) - f_m(r)| &= |g_r(r - 1/n) - g_r(r - 1/m)| \\ &\leq \sup_{\xi \in [r - \frac{1}{n}, r - \frac{1}{m}]} \left[ \frac{2\xi}{(r^2 - \xi^2)^{3/2}} + \int_r^T \frac{t^2 + 2\xi^2}{(r^2 - \xi^2)^{5/2}} \right] \left( \frac{1}{m} - \frac{1}{n} \right) \\ &\leq \sup_{\xi \in [r - \frac{1}{n}, r - \frac{1}{m}]} \left[ \frac{2\xi(r^2 - \xi^2) + (T - r)(T^2 + 2\xi^2)}{(r^2 - \xi^2)^{5/2}} \right] \frac{2}{m} \\ &\leq \frac{14T^3}{m^{7/2}r_0} \rightarrow 0 \quad \text{as } m \rightarrow \infty, \quad r \in [r_0, T - \varepsilon]. \end{aligned}$$

With regard to (4.10) we conclude that the consequence  $\{f'_n\}_{n=1}^\infty$  uniformly converges on  $[r_0, T - \varepsilon]$  and (4.11) is true.

Finally let us prove (4.9). Due to (4.12) we have  $\forall r \in (0, T)$

$$\begin{aligned} |f'_n(r)| &\leq \frac{1}{\sqrt{n}(r - 1/n)\sqrt{2r - 1/n}} \\ &+ \frac{T}{(r - 1/n)\sqrt{T^2 - (r - 1/n)^2}} \rightarrow \frac{T}{r\sqrt{T^2 - r^2}} \quad \text{as } n \rightarrow \infty. \end{aligned}$$

Taking into account (4.11), we conclude that (4.9) holds that was to be proved.

**Lemma 4.4.** *If  $f \in H_0^s \times H_0^{s-1}$  and  $g = \mathcal{F}f$  then*

$$\|E(|x|, t) * f\|^s = \|\Sigma(|\sigma|, t)g\|_s \leq \sqrt{4t^2 + 6} \|g\|_s = \sqrt{4t^2 + 6} \|f\|^s, \quad t \in \mathbb{R}. \quad (4.13)$$

*P r o o f.* For all  $t \in \mathbb{R}$  we have

$$\begin{aligned} \|E(|x|, t) * f\|^s &= \|\Sigma(|\sigma|, t)g\|_s \\ &\leq \left\| \begin{pmatrix} \cos(|\sigma|t) \\ -|\sigma| \sin(|\sigma|t) \end{pmatrix} g_0 \right\|_s + \left\| \begin{pmatrix} \frac{\sin(|\sigma|t)}{|\sigma|} \\ \cos(|\sigma|t) \end{pmatrix} g_1 \right\|_s \\ &\leq \sqrt{2} \|g_0\|_s^0 + \left( \left( \left\| \frac{\sin(|\sigma|t)}{|\sigma|} g_1 \right\|_s^0 \right)^2 + \left( \|g_1\|_{s-1}^0 \right)^2 \right)^{1/2}. \end{aligned}$$

Since  $(1 + |\sigma|^2) \left| \frac{\sin(|\sigma|t)}{|\sigma|} \right|^2 \leq 2(t^2 + 1)$  we obtain (4.13). The lemma is proved.

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